SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

1. Title of Security	(Instr. 3)	2. Tra	nsaction	2A. Deemed	3.	4. Securities Acquired (A) of	or	5. Amount of	6. Own	ership	7. Nature
		Table I - Non-D	erivative	e Securities Ac	quired, Di	sposed of, or Benef	ficially	Owned			
(City)	(State)	(Zip)									
,								Form filed b Person	y More than (One Re	oorting
(Street) CRANBURY	NJ	08512					X	Form filed b	y One Repor	ting Per	son
(Otra at)			4.1	If Amendment, Date	of Original Fi	led (Month/Day/Year)	6. Indivi Line)	dual or Joint/	Group Filing	(Check	Applicable
7 CLARKE DR	IVE										
C/O OUTLOOI	K THERAPEU	JTICS, INC.	03	/19/2020				Ciller C	ommercial	Office	
(Last) (First) (Middle)				Date of Earliest Trar	nsaction (Mon	th/Day/Year)		below)	ommercial	below)	
							x	Officer (give	e title	Other	(specify
Evanson Jeff	1 0		0	<u>utlook Therap</u>	<u>eutics, In</u>	<u>c.</u> [OTLK]	(Check	all applicable Director	,		Owner
1. Name and Addre	ess of Reporting	Person [*]	2.	lssuer Name and Ti	cker or Tradin	g Symbol			porting Perso	on(s) to	ssuer
Instruction 1(b).						rities Exchange Act of 1934 ompany Act of 1940	Ļ				0.5
to Section 16. F	orm 4 or Form 5								Estimated aver hours per resp	0	len 0.5
Check this box i	if no longer subjec	t STATE	MENT (OF CHANGE	ES IN BE	NEFICIAL OWN	ERSH	IIP 🛛	OMB Number:		3235-0287

1. The of Security (insu: 3)	Date (Month/Day/Year)				Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(insu: 4)
Common Stock	03/19/2020		A		1,207,457(1)	A	\$0.00 ⁽¹⁾	1,207,457	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	action 3A. Deemed 4. Execution Date, Transaction		of Expiration Date Derivative (Month/Day/Year) Securities Acquired (A) or			Amount of De Securities Sec		Derivative de Security Se (Instr. 5) Be Ov Fo Re Tra	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares were issued to the Reporting Person pursuant to the terms of that certain Consulting Agreement dated January 27, 2020, and are subject to repurchase by the Issuer as provided therein. **Remarks:**

<u>/s/ Lawrence Kenyon,</u>	
Attorney-in-Fact	

04/21/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.